

## Search guidance - results

# Misconduct

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## <u>Use of non disclosure agreements (NDAs) -</u> <u>Warning notice</u>

[https://contact.sra.org.uk/solicitors/guidance/non-disclosureagreements-ndas/]

6 August 2024

This reminds those we regulate of some of the key risks and issues when advising on the use of NDAs.

## <u>Workplace environment: risks of failing to</u> protect and support colleagues - Guidance

[<u>https://contact.sra.org.uk/solicitors/guidance/workplace-environment/</u>]

4 May 2023

Guidance on our approach where we consider that individuals and firms have failed to take appropriate steps to look after colleagues' wellbeing.

## Workplace environment: risks of failing to protect and support colleagues - Case studies

[https://contact.sra.org.uk/solicitors/guidance/workplaceenvironment-case-studies/]

2 May 2023

Case studies to be read in conjunction with the guidance on workplace environment: risks of failing to protect and support colleagues



### <u>Convictions arising from matters of</u> principle or social conscience - Guidance

[<u>https://contact.sra.org.uk/solicitors/guidance/convictions-arising-social-conscience/</u>]

1 September 2022

To explain your obligations when you as a legal practitioner are convicted in relation to matters of principle or social conscience.

## **Sexual Misconduct - Guidance**

[<u>https://contact.sra.org.uk/solicitors/guidance/sexual-misconduct/</u>]

1 September 2022

Guidance about sexual misconduct allegations for those we regulate and complainants considering reporting allegations of sexual misconduct to us.

## **Acting with integrity - Guidance**

[<u>https://contact.sra.org.uk/solicitors/guidance/acting-with-integrity/</u>]

1 September 2022

To help explain Principle 5 and the requirement to act with integrity.

## Advising on leasehold provisions including ground rent clauses - Guidance

[https://contact.sra.org.uk/solicitors/guidance/leaseholdprovisions-including-ground-rent-clauses/]

9 August 2022

To help you understand what our Standards and Regulations require when acting for clients who are buying leasehold properties and to avoid possible breaches of our requirements.

## <u>Confidentiality of client information -</u> <u>Guidance</u>

[https://contact.sra.org.uk/solicitors/guidance/confidentialityclient-information/]



30 June 2022

To help you understand your obligation to keep clients' information confidential.

## **Conduct in disputes - Guidance**

[<u>https://contact.sra.org.uk/solicitors/guidance/conduct-disputes/</u>]

4 March 2022

This guidance is to help you understand your obligations and how to comply with them. We will have regard to it when exercising our regulatory functions.

### **SRA consumer credit - Guidance**

[<u>https://contact.sra.org.uk/solicitors/guidance/consumer-credit/]</u>

11 November 2020

To help SRA authorised firms involved in credit related regulated activities understand the application of Part 20 of the Financial Services and Markets Act 2000.

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