

CLASSIFICATION – PUBLIC

SRA REGULATORY RISK COMMITTEE

Minutes of the Public Meeting held on
8 December 2015 at 11.30am at
The SRA, The Cube, Wharfside Street, Birmingham

Present: Cindy Leslie (Chair)
Paul Marsh

In attendance: David Middleton, Robert Loughlin and Carol Westrop

1&2. Chair's welcome, apologies and minutes of the meeting held on 7 October 2015

- 1.1 Apologies were received from Shamit Saggar (who had to leave at 12.20pm) Amanda Sherlock, Diane Moore, James King and Gordon Ramsay.
- 1.2 The minutes of the public session of the Committee held on 7 October 2015 were agreed.

3. Committee Members' Declarations of Interest

- 3.1 None declared

4. Matters arising

- 4.1 None

5. Report from Legal and Enforcement

- 5.1 The Committee considered a progress report on operational issues within the Legal & Enforcement (L and E) Directorate, including a summary of the outcomes reached by the SDT in 2015 and a general update on workloads and performance against KPIs.
- 5.2 The Committee noted the good progress being made in developing further collaborative working between L and E and Supervision by the formal introduction of Investigation Plans (IPs) in June 2015. The plans are prepared by the Conduct Investigation Team in Supervision and are submitted to L&E for advice to be provided within 5 days. The process is working well.
- 5.3 The Committee welcomed the work being done to improve L and E KPIs, in particular to take into account all types of work being undertaken rather than only SDT related matters, and the need for timeliness KPIs to reflect more appropriately that on occasions, the duration of a case will have to be greater than the norm. The Committee also welcomed the priority L&E is giving to reviewing the way allegations are drafted in SDT proceedings following criticism in the High Court and SDT. The Committee noted that workshops have been held internally and with panel solicitors to share advice and expertise.

6. AOB

- 6.1 The Committee noted that the Committee Chair, Cindy Leslie, was stepping down from the Board and retiring as Chair. Paul Marsh, on behalf of the Board, expressed his thanks to Cindy Leslie for the absolutely excellent way in which she had chaired the Committee over the last 4 years, providing invaluable support and challenge to the SRA. His thanks were wholly endorsed by all of the SRA's staff present.

Next meeting: **To be confirmed**